



Endorsement No.	This endorsement is effective on the effective date of the Policy unless a different date is set forth below.	Policy Number	<input type="checkbox"/> Additional <input type="checkbox"/> Return Premium
	12:01 a.m. on		

## SPECIFIC CLAIMS EXCLUSION

It is agreed this policy does not apply to any **claim** based upon or arising out of:

1. Any of **you** in the performance of **professional legal services** for or on behalf of any law firm other than the **named insured** at which **you** perform **professional legal services** in the capacity as an of counsel, independent contractor or per diem attorney; and
2. Any claim while acting as a licensed real estate agent or broker, either directly or arising out of or related to any referrals to any other real estate agents or brokers.
3. Any actual or alleged act, error or omission in the performance of services as a licensed life or health insurance agent; or
4. Any actual or alleged act, error or omission of an **insured** based upon or arising out of, directly or indirectly resulting from, in consequence of or in any way involving "investment advice" by the **insured**. "Investment advice" means:
  - a. Predictions of future performance, warranties or guarantees regarding specific and identifiable investments;
  - b. Recommending or inducing others to purchase, sell or make a particular investment in any identifiable investment;
  - c. Performing an economic analysis of any investment; or
  - d. Actively buying, selling or managing any investment for another (except for the execution of trades or transactions pursuant to a client's express written direction or that of such client's authorized agent who is other than an **insured**).

All other provisions of the Policy remain unchanged.